

Virtual Learning

BSA/AML/CFT Fundamentals School

Are you ready to master the essentials of BSA compliance and gain practical tools to protect your institution? This two-day program provides the foundation for building and maintaining a strong, risk-based BSA/AML program — connecting the regulatory framework with real-world application. The financial industry plays a vital role in protecting the integrity of the financial system by preventing money laundering, terrorist financing, and other illicit activities.

Compliance with the Bank Secrecy Act (BSA) is more than just a regulatory requirement; it's a critical responsibility for maintaining the safety and soundness of your institution. Designed specifically for BSA Officers, compliance professionals, and team members, this comprehensive training will help you move beyond "checking the box." You'll explore not just what the law requires, but why it matters — and how to apply those principles effectively in your daily operations. Through interactive discussions, hands-on exercises, and real-world examples using resources such as the FFIEC BSA/AML Examination Manual and 31 CFR Chapter X, this program will equip you with the tools and confidence to enhance your compliance program.

Each attendee will receive a Training Program Manual and a Resource Toolkit Manual, packed with templates, examples, and checklists that can be implemented immediately within their institution.

Agenda Includes:

- Money Laundering and History of Bank Secrecy Act
- Today's BSA Officer and Team – Understanding the Key Job Duties and Responsibilities
- The Tools of BSA – Maximizing BSA Resources
- The Role of FinCEN – Including the Shift to Joint Agency
- BSA/AML Violations and Penalties – How to Minimize the Risks
- BSA/AML Risk Assessments – Moving from Prescriptive to Risk Focused
- BSA/AML Compliance Program and Key Components
- Customer Identification Program
- Red Flags Focus
- Customer Due Diligence and UPDATED Beneficial Ownership
- BSA Reporting and Record Keeping – Common Practices and Challenges
- Suspicious Activity Reporting (SAR)
- Office of Foreign Asset Control (OFAC) Compliance
- Hot Topics and Emerging Trends – Including Fraud and Other Illicit Financial Activity
- Record Retention

When:

February 10-11, 2026
9:00 a.m. - 3:30 p.m.

Where:

The comfort of your desk.

Who Should Attend:

This training is ideal for newly appointed BSA Officers, BSA team members, and compliance professionals seeking a refresher on the fundamentals. It's also beneficial for analysts and other staff involved in the bank's compliance program who want to deepen their understanding of BSA/AML obligations.

ABOUT THE INSTRUCTORS



Dianne Barton is president of Performance Solutions, Inc. (PSI), a training and consulting company that specializes in providing solutions to the key challenges facing banks in attracting and building relationships with customers. PSI’s training programs are designed to “close the gap” between the banks’ needs and employees’ skills. Her trademark “real world” scenarios give participants insight into the practical application of new skills and knowledge.



Kristin Harville is an experienced and knowledgeable compliance professional with an extensive background as a banker, an examiner, and a consultant bringing a wealth of “real world” knowledge that goes beyond the regulations. Her most recent experience before joining PSI included leading a \$2.7 banking compliance team focused on BSA, Compliance Management Systems, Internal Audit, Technology Implementation, and Enterprise Risk Management.

REGISTRATION FEES

ABA Members:

Registration Price: \$780

Non-Members:

Registration Price: \$ 1,560

NOTE

Registration fees are per person, not connection. Please register each person in attendance separately.

CANCELLATION

Full registration fees will be refunded if a cancellation is received before January 26. No refunds will be given for cancellations made after January 26. All cancellations must be submitted in written format prior to the event.

VIRTUAL LIVE FORMAT

Attendees will need Internet access and a standard web browser to join this video and web conferencing. They will receive an email with a link to join the virtual meeting, handouts, and any additional information a few days before the event.

You do not need your own Zoom account. You will use the link, meeting ID and password we provide.

- You can log in on a desktop computer, laptop or download the Zoom app on your smart device.
- Internet access
- Audio on computer or a phone line

BSA/AML/CFT FUNDAMENTALS SCHOOL

FEBRUARY 10-11, 2026

Bank/Company Name _____ Phone _____

Registrant Name _____ Title _____ E-mail _____

Registrant Name _____ Title _____ E-mail _____

Address _____ City _____ State _____ Zip _____

Registration Contact _____ Phone _____ E-mail _____

PAYMENT INFORMATION

Charge my: Mastercard  Visa  American Express  Discover 

Account Number _____

Name on Card _____

Expiration Date _____ CSC Number _____

(3-digit security code on back of your card)

Billing Zip Code _____

If you would prefer to pay via credit card over the phone, please call the ABA at (501) 376-3741. Please do not email your credit card information.

Email: marketing@arkbankers.org

Fax: (501) 376-9243

Mail: Check Payable to:
Arkansas Bankers Association
Professional Development Training Center
1220 West Third Street
Little Rock, AR 72201

ABA USE ONLY:

Registered: _____

Amount: _____

Received: _____

Note: Non-Members must pay with a credit card or check prior to the event.